## Seminar 17312 Introduction to Linguistics

Institute for English Philology Winter Semester 2020/2021

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## Phonology: phones, phonemes and allophones

## Phonetics vs. phonology

Phonetics: how you make sounds (Plag et al. 2009: 9);
Phonology:
"Phonology is the study of the abstract categories that organise the sound system of a language" (ibid.).
It is "[...] concerned with the speakers' knowledge of the sound system of one specific language"; investigates the "sound inventory" of a language and "the function and (mental) organisation of these sounds [...]" (Bieswanger \& Becker 2017: 58).

Two main levels of analysis:

1. Segmental phonology: functions of individual sounds (segments) in a language (ibid.); phonemes i.e. idealised sounds
2. Suprasegmental phonology: combination of sounds i.e. syllables, phonotactics, assimilation, stress, intonation (ibid.)
Phonology: https://www.youtube.com/watch?v=L-iyXUFMwNk
Phonemes \& Allophones: https://www.youtube.com/watch?v=MTCx2hCxvHQ

## Terminology and concepts

PHONEME: "[...] the minimal distinctive unit in the sound system of the language" (Plag et al. 2009: 36, 232), the mental representation, and abstraction over all the various allophones of what we consider one sound; the smallest meaningdistinguishing units in language" (Bieswanger \& Becker 2017: 59).

PHONE: "physical realisation of a speech sound" (Plag et al. 2009: 33)

ALLOPHONE is a specific realisation of a phone (ibid.); allophones are "different phones representing the same phoneme" (ibid., p. 224). They do not distinguish meaning (ibid., p. 36).

## Phonetic vs phonemic transcription

## Phoneme

## Phonology

In phonemic transcription, we note only abstract phonemes, i.e. those characteristics that make a difference in identifying the word.

Allophone
Phonetics
[t]
The specific realisation of a phoneme can be described in as detailed a manner as you need [th]ick kni[t']
[ ${ }^{\mathrm{th}]}$ aspirated
[ $t^{`}$ ] unreleased

## Phonetic vs phonemic transcription



## Phonemes vs. allophones

How do we determine PHONEME STATUS?
A minimal-pair test.

MINIMAL PAIR is a pair of words with different meaning that differ in only one sound at the same place (Plag et a. 2009: 36, 230)

$$
\begin{aligned}
& \text { bad /bæd/ - bed /bed/ } \\
& \text { pit/prt/ - bit/bIt// } \\
& \text { sit /sit/ - shit//It/ } \\
& \text { knit/nIt/ - wit/wIt/ } \\
& \text { tick /tik/ - nick/nIk/ }
\end{aligned}
$$

Tick and nick differ in meaning, so the different initial consonants must be phonemes: /t/ vs. /n/.
"[...] constrasting sounds identified by the minimal pair test form the phoneme inventory of a language" (Bieswanger \& Becker 2017: 59).

## Phonemes vs. allophones

Allophones can be in:

1. free variation: "different realisations of a linguistic category can occur in the same position" (Plag et al. 2009: 228). Examples:
cat: [ $\left.k^{h} æ t\right]\left[k^{h} æ t^{\dagger}\right]\left[k^{h} æ t^{\prime}\right] / k æ t /$

Aspirated plosives: https://www.youtube.com/watch?v=6PSdlctYBsw
Released/unreleased consonants: https://www.youtube.com/watch?v=zNP-D-QTylo

## Free variation

(8) a. clap 1

released consonant [p]
b. clap 2

unreleased consonant [p]

Plag et al. (2009: 37)

## Phonemes vs. allophones

2. complementary distribution: Two allophones of one phoneme are in complementary distribution if they do not occur in the same environment (Kortmann 2005: 70):
eg. $\left[\frac{\mathrm{I}}{\mathrm{I}}\right]$ after voiceless consonants
[I] in other contexts

| wrap | $[$ Iæp $]$ | trap | [țep] |
| :--- | :--- | :--- | :--- |
| room | $[$ Iu: m$]$ | pray | $[\mathrm{pI}$ eI $]$ |
| very | $[$ ve.ii: $]$ | crude | $[\mathrm{kI}$ udd $]$ |

DISTRIBUTION: "[...] refers to the different positions in which a speech sound can occur or cannot occur in the words of a language" (Plag et al. 2009: 35)

## Allophones: complementary distribution vs free variation



Bieswanger \& Becker (2017: 62)
If one allophone cannot occur when the other one does (but they do not distinguish meaning), they are in COMPLEMENTARY DISTRIBUTION.

If allophones are in the same environment and do not distinguish meaning, they are in FREE VARIATION.

## Complementary distribution: allophones of /I/

level

Bieswanger \& Becker (2010: 61)
https://www.youtube.com/watch?v=zf5laPOZuos

## Complementary distribution: allophones of /II

(15) The distribution of [ $\dagger]$

| \# |  | V__V |  | \# |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| *[ヶıр] | lip | *[mıł〕] | miller | [pıt] | pill |
| *[łer] | lay | *[siti:] | silly | [ert] | ale |
| *[ii:n] | lea n | *[ni:łə] | kneeler | [ni:ł] | kneel |

(16) the distribution of [1]
$\qquad$

| $\mathbf{V} \_\mathbf{V}$ |  |
| :--- | :--- |
| $[\mathrm{mil}$ ] $]$ | miller |
| [sili:] | silly |
| [ni:lə] | kneeler |


| \# |  |
| :---: | :---: |
| *[pıl] | pill |
| *[eIl] | ale |
| *[ni:l] | kneel |

[1] and [I] are in RP the complementary distribution

## Complementary distribution: allophones of /II

(15) The distribution of [ $\dagger]$

| \# |  | V__V |  | \# |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| *[ヶıр] | lip | *[mıł〕] | miller | [pıt] | pill |
| *[łer] | lay | *[siti:] | silly | [ert] | ale |
| *[ii:n] | lea n | *[ni:łə] | kneeler | [ni:ł] | kneel |

(16) the distribution of [1]
$\qquad$

| $\mathbf{V} \_\mathbf{V}$ |  |
| :--- | :--- |
| $[\mathrm{mil}$ ] $]$ | miller |
| [sili:] | silly |
| [ni:lə] | kneeler |


| $\quad$ \#  <br> *[pıl $]$ pill <br> *[eıl] ale <br> *[ni:l] kneel |
| :---: |
|  |  |
|  |  |
|  |  |

[1] and [I] are in RP the complementary distribution

## Allophones of /I/

(14) \#
[lip] lip
[leI] lay
[li:n] lean
$\mathbf{C}_{[\text {- voice ]__ }} \quad \mathbf{V} \quad \mathbf{V}$


[1]i.e. at the beginning of words, between two vowels; in syllable onsetw
[1] voiceless after voiceless consonants
[1] velarised realisation of $/ I$, "dark 1 ", word-final position; in syllable codas

clear 1

dark 1
(ibid., p. 43)
https://www.youtube.com/watch?v=zf5laP OZuos

## Complementary distribution: allophones of /l/

- [l] "light" I (Bieswanger \& Becker 2017: 60);
- [t] "dark l", velarised variant of the alveolar lateral approximant (ibid., Plag et al 2009: 43);
- [ [] voiceless (Plag et al 2009: 42)

clear 1

dark 1

Free variation: released and unreleased stop phonemes /p/ /t/ /k/
a. /p/
clap [klæp] or [klæp']
stop [stop] or [stop $]$
b. /t/

c. /k/
stack [stæk] or [stæk']
duke [dju:k] or [dju:k']

Plag et al. (2009: 45)

## Aspirated and unaspirated allophones of /p/

(21) The distribution of $\left[\mathrm{p}^{\mathrm{h}}\right]$

| __ V | \#[s] _ V |
| :---: | :---: |
| [ $\mathrm{p}^{\mathrm{h}_{\text {In }}}$ ] | * [sp ${ }^{\text {h }}$ In] $]$ |
| [ $\mathrm{p}^{\mathrm{h}} \mathrm{I}$ ¢] | *[ $\left.\mathrm{sp}^{\mathrm{h}} \mathrm{I} \mathrm{i} ¢\right]$ |
| [ $\mathrm{p}^{\mathrm{h}} \mathrm{e}$ ]] | *[sp ${ }^{\text {h }}$ es] |


| V__V | \# |
| :---: | :---: |
| *[swi:p ${ }^{\text {b }}$ ] | [hıp ${ }^{\text {h }}$ ] |
| *[ıæр ${ }^{\mathbf{h}} \mathrm{Id}$ ] | [ıæр ${ }^{\text {h }}$ ] |
| *[Ji:p ${ }^{\mathrm{h}_{1}}$ ] $]$ | [ki:p ${ }^{\text {h }}$ ] |

(22) The distribution of [p]

| \# __ V | \#[s] _ V | V__V | \# |
| :---: | :---: | :---: | :---: |
| *[pin] | [spin] | [swi:pe] | [hip] |
| *[pıł] | [spıt] | [ıæрıd] | [ıæp] |
| *[pez] | [sper] | [ i ipif] | [ki:p] |

[ $p^{h}$ ] stands for aspirated /p/. It means that it is produced with an additional "breath of air" (Plag et al 2009: 46-47)
(21) The distribution of $\left[\mathrm{p}^{\mathrm{h}}\right]$

| \# __ V | \#[s] _ V | V __ V | \# |
| :---: | :---: | :---: | :---: |
| [ $\mathrm{p}^{\mathrm{h}} \mathrm{I} \mathrm{l}$ ] | $*\left[s \mathbf{p}^{\text {h }} \mathrm{In}\right]$ | *[swit $\mathbf{p}^{\text {b }}$ ] | [hip ${ }^{\text {h }}$ ] |
| [ ${ }^{\text {h }} \mathrm{I}$ ] $]$ | $*\left[\mathrm{sp}^{\mathrm{h}} \mathrm{I}\right.$ ]$]$ | *[.æp ${ }^{\text {h }}$ Id $]$ | [.æр ${ }^{\text {h }}$ ] |
| [ $p^{\text {h }}$ eว] | *[sp ${ }^{\text {h }}$ eə] | *[ $\left[\mathrm{i}: \mathbf{p}^{\left.\mathrm{h}_{1} \int\right]}\right.$ | [ki:p ${ }^{\text {h }}$ ] |

(22) The distribution of $[\mathrm{p}]$

| \# __ V | \#[s]_V | V __ V | \# |
| :---: | :---: | :---: | :---: |
| *[pin] | [spın] | [swi:pe] | [hip] |
| *[pıł] | [spıt] | [.æрıd] | [ェæр] |
| *[peə] | [sper] | [ [i:pif] | [kip] |

- $\quad\left[p^{h}\right]$ and $[p]$ are in complementary distribution:

1. word-initially;
2. after s;
3. between a vowel and between two vowels;

- $\left[p^{h}\right]$ and [ $\left.p\right]$ are in free variation in the word-final context (ibid., p. 47)


## Summary: allophones of the phoneme /p/

Phoneme /p/ can be realised:

- as $\left[p^{\mathrm{h}}\right]$ in word-initial position before vowels (with exception to the case when it is after [s]);
- as [p] between [s] and a vowel and between two vowels;
- as [ $\mathrm{p}^{\mathrm{h}}$ ] or [p] or [ $\mathrm{p}^{\top}$ ] in word-final position
(Plag et al. 2009: 50)


## [r] and $[1]$ in RP

(27) very
sorry courage
[veri:]
or
[ve.si:]
[sori:]
[kırəd3]
Or [sD.Ii:] [k^.Iəd3]

- [r] voiced alveolar flap
- [r] can only occur between two vowels in RP English
- [r] and [ $\mu$ ] are in free variation in RP between two vowels;
- [r] and [ $\mu$ ] and in complementary distribution in word-initial position
(Plag et al. 2009: 51)
https://www.youtube.com/watch?v=JOIY
x-WGebg


## t/d flapping in General American

- /t/ and /d/ realised as voiced alveolar flap [r] in word-medial, intervocalic position
- [r] as an allophone of the phonemes /t/ and /d/
- the tongue taps the alveolar ridge (Plag et al. 2009: 49, Bieswanger \& Becker 2017: 63)

| word | General American pronunciation | word deem | General American pronunciation [dim] |
| :---: | :---: | :---: | :---: |
| team | [ ${ }^{\text {himim] }}$ | dear | [di.] |
| tier | [ ${ }^{\text {thi. }}$, ] | rider | [.tairas] or [Jaidar] |
| steam | [stim] | medal | [merəł] or [medəł] |
| stole | [stout] | hid | [hid] |
| writer | [.aıırı] or [.ratas] | bode | [boud] |

Videos:
https://www.youtube.com/watch?v=WAs5kPfl_OY https://www.youtube.com/watch?v=te3Tua6EUng https://www.youtube.com/watch?v=1FDjhKY8HwM

## Non-rhotic and rhotic variaties of English

- In non-rhotic varieties of English, r-sounds do not occur in the word-final position
(30) base form, __ \#

| ar | [hır] | *[hı. $]$ |
| :---: | :---: | :---: |
| care | [keə] | *[ke.r] |
| re | [kjue] | *[kjo.s] |
| our | [po:] | *[po:ı] |
| bar | [ba:] | *[bası] |
| purr | [р3:] | *[рз.п] |

https://www.youtube.com/watch?v=hWjcoajXRVg

## in $R P$ is realised....

- as [-I $]$ after voiceless consonants
- as [ə] word-finally after [I], [e] and [ $\mathrm{\imath}]$ (i.e. centring diphthongs
- as a "zero" allophone word-finally after long vowels
- as [ $\mu]$ and $[r]$ in intervocalic positions
- as [ 1 ] elsewhere

| (32) spelling | RP | General American |
| :---: | :---: | :---: |
| hear | [hı] | [hi.s] |
| care | [kea] | [ke.I] |
| cure | [kjus] | [kjos.] |
| purr | [р3:] | [рз. $]$ |
| pour | [po:] | [po.r] |
| bar | [ba:] | [ba. ${ }^{\text {] }}$ |

## The syllable

- "A phonological unit consisting of a vowel or other unit that can be produced in isolation, either alone or accompanied by one or more less sonorous units" (Matthews 2014);
- "Phonological units above the phoneme level that can be vaguely defined as the smallest rhytmic unit of speech" (Bieswanger \& Becker 2010: 65);
- A minimum syllable: single vowel in isolation:
<are> /ə/ /a:/ (RP) <or> /ə/ /o:/ <err> /3:/ (RP)
- Some of them have an onset: one or more consonants preceding the centre of the syllable (non-compulsory):
<bar> /ba:/, <key> /ki:/ (RP)
- Some of them have a coda: end with one or more consonants:
<ran>/ræn/ <fill> /fil/
- I, wr, j can be in an initial position in a consonant cluster: <splay>/spleI/, <try> /trai/, <stew> /stju:/


## The structure of the English syllable



## The structure of the English syllable

(39) The structure of the syllable

constituents:
English:
nucleus
obligatory optional
vowel
diphthong
syllabic consonant

Nucleus is sometimes referred to as peak (Roach 2009: 74)

## Example of a syllable division

- Elements of a syllable are called CONSTITUENTS

|  | $\sigma$ |  |  |
| :---: | :---: | :---: | :---: |
|  | C <br> (optional) | (obligatory) | C (optional) |
| Alfred, Al |  |  |  |
| [æl] |  | æ | 1 |
| [f.ıəd] | f.I | ə | d |
| Susan, Sue |  |  |  |
| [su:] | S | u: |  |
| [zən] | Z | $\partial$ | n |
| Patricia |  |  |  |
| [pə] | p | $\partial$ |  |
| [t.II] | t.I | I |  |
| [ J ] | [ | ə |  |
| Trish |  |  |  |
| [t.IIf] | t.I | I | ¢ |

## Syllabification

> How would you divide this word? ekstra (Roach 2009: 61)

- e.kstra
- ek.stra
- eks.tra
- ekst.ra
- ekstr.a
$>$ The Maximal Onset Principle: as many consonants as possible in the onset, but nucleaus has to be „the most clearly audible part of the syllable" -
$>$ SONORITY SEQUENCING PRINCIPLE: "sounds preceding the nucleus (i.e. onsets) must raise in sonority, and sounds "following the nucleus (i.e.) must fall in sonority (Plag et al 2009: 61)
- sonority: "clear audability"; measured in relation to other sounds (ibid., p. 60)


## Sonority scale

(44) Sonority scale vowels $>[\mathrm{w}],[\mathrm{j}]>[\mathrm{I}]>[1] \begin{aligned} & >\text { nasal }> \\ & > \\ & \text { consonants }\end{aligned} \begin{aligned} & \text { fricatives, } \\ & \text { affricates }\end{aligned}>$ plosives

Alfred /æl.fred/ I.frөd



Plag et al. (2009: 62)

## Sonority scale



## Syllables: phonotactics

## PHONOTACTIC RESTRICTIONS in English:

- no combinations of /ps/ (/sar'kbləd3i/)and/kn/ in the onset position possible
- no / $\boldsymbol{\eta} /$ in onset position in English;
- no word-final /h/ in English
- no word-final /w/
- no word-final /j/ in English
- no combinations of /w, j/ with other consonants in the onset position
- no more than three initial consonants (in the onset position) (CCCV)
- initial three-consonant clusters all begin with /s/,
e.g. /spl/ <split>, /str/ <street> or /skw/ <square>
- no more than four consonants in the coda
e.g. /ksts/ <texts>, /glimpst/ <glimsped>
- In general, longer consonant clusters are possible in the coda of a syllable than in the onset.
- Two types of syllables are distinguished: OPEN SYLLABLES end in a vowel, whereas CLOSED SYLLABLES end in a consonant.


## 

- [I], [n], [m] and [r] can occupy the NUCLEUS POSITION
- in weak syllables without vowels

Syllabic /I/:
one or more consonants followed by „-le"
$\checkmark$ <bottle> ['bot! $]$
$\checkmark<$ cattle> ['kæt!]]
$\checkmark$ couple ['k^p!]
Syllabic n : in the word-medial and word-final positioı

$\checkmark$ <button> ['b^tṇ]
$\checkmark$ <happen> ['hæpñ]
Syllabic $/ \mathrm{m} /$ and $/ \mathrm{\eta} /$ : in the process of assimilation
$\checkmark<$ rhythm> [rIð(ə)m]
$\checkmark$ <cupboard>/k^pbrd/ (rhotic dialects, e.g. AmE)
In syllables without vowels, consonants have to take over 'vowel' function
$\rightarrow$ consonants that are most 'vowel-like' in quality (Roach 2009: 68-71).

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